

Nicholas A. Toumpas  
Commissioner

Nancy L. Rollins  
Associate Commissioner

STATE OF NEW HAMPSHIRE  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF COMMUNITY BASED CARE SERVICES

BUREAU OF DEVELOPMENTAL SERVICES

105 PLEASANT STREET, CONCORD, NH 03301  
603-271-5034 1-800-852-3345 Ext. 5034  
Fax: 603-271-5166 TDD Access: 1-800-735-2964

Amendment

Approved by Fiscal  
Date 12-3-09  
Page -  
Item No. 6

October 30, 2009

Approved by G!C  
Date 12-9-09  
Page -  
Item No. 99

The Honorable Marjorie K. Smith  
Chairman  
Fiscal Committee of the General Court, and

His Excellency, Governor John H. Lynch  
and the Honorable Executive Council  
State House  
Concord, New Hampshire 03301

**Requested Action**

Pursuant to the provisions of Chapter 144:39, II, Laws of 2009, Additional Revenues, authorize the Department of Health and Human Services (DHHS), Division of Community Based Care Services, Bureau of Developmental Services (BDS), to amend the existing allocation of \$2,398,294.00 of Part C IDEA Infants & Toddlers federal funds through the American Recovery and Reinvestment Act of 2009 (ARRA) from the United States Department of Education, Office of Special Education by increasing the amount in SFY 2010 from \$700,700.00 to \$1,701,700.00 and decreasing the amount in SFY 2011 from \$1,697,594.00 to \$696,594.00 effective December 3, 2009 through September 30, 2011.

05-95-93-930010-0816 DEPT OF HEALTH AND HUMAN SVCS, HHS: DEVELOPMENTAL SERV-DIV OF , DIV OF DEVELOPMENTAL SVCS, SERVICES FOR INFANTS & TODDLERS WITH DISABILITIES

Class/Object	Class Title	Chapter 143, Laws of 2009 Operating Budget	Increase/ (Decrease) Amount	Revised Modified Budget
SFY 2010				
000-401776	Federal Funds-ARRA stimulus	\$700,700.00	\$1,001,000.00	\$1,701,700.00
	General Fund	<u>\$0.00</u>	<u>\$0.00</u>	<u>\$0.00</u>
Total Revenue		<u>\$700,700.00</u>	<u>\$1,001,000.00</u>	<u>\$1,701,700.00</u>
041-500801	Audit Fund Set Aside	\$700.00	\$1,000.00	\$1,700.00
102-500731	Contracts for Program Services	<u>\$700,000.00</u>	<u>\$1,000,000.00</u>	<u>\$1,700,000.00</u>
Total Expense		<u>\$700,700.00</u>	<u>\$1,001,000.00</u>	<u>\$1,701,700.00</u>

05-95-93-930010-0816 DEPT OF HEALTH AND HUMAN SVCS, HHS: DEVELOPMENTAL SERV-DIV OF , DIV OF  
 DEVELOPMENTAL SVCS, SERVICES FOR INFANTS & TODDLERS WITH DISABILITIES

Class/Object	Class Title	Chapter 143, Laws of 2009 Operating Budget	Increase/ (Decrease) Amount	Revised Modified Budget
SFY 2011				
000-401776	Federal Funds-ARRA stimulus	\$1,697,594.00	(\$1,001,000.00)	\$696,594.00
	General Fund	<u>\$0.00</u>	<u>(\$0.00)</u>	<u>\$0.00</u>
Total Revenue		<u>\$1,697,594.00</u>	<u>(\$1,001,000.00)</u>	<u>\$696,594.00</u>
041-500801	Audit Fund Set Aside	\$1,698.00	(\$1,000.00)	\$698.00
102-500731	Contracts for Program Services	<u>\$1,695,896.00</u>	<u>(\$1,000,000.00)</u>	<u>\$695,896.00</u>
Total Expense		<u>\$1,697,594.00</u>	<u>(\$1,001,000.00)</u>	<u>\$696,594.00</u>

### Explanation

The amendment reflects an increase in the SFY 2010 allocation as plans for expending Part C ARRA funds have moved forward expeditiously thus requiring an increase in funds for SFY 2010, such that most of the planned spending will occur in SFY 2010, rather than across both state fiscal years. Initial concern regarding the complexity of allocating and dispersing the funds proved to be unfounded. In addition a decision was made to increase the amount sent out for immediate program use specific to equipment purchases and staff development. For example: therapeutic educational supplies, state of the art technology devices, software, provision of in-services on evidence based practices and purchase of medical equipment for use by qualified personnel.

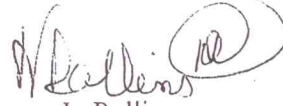
The original allocation request (approved by the Fiscal Committee Item #09-326, on September 29, 2009, and Governor and Council Late Item #H on October 7, 2009) allowed for the acceptance of additional Federal revenue of \$2,398,294.00 in the DHHS budget represents funding associated with Federal stimulus funds made available by the American Recovery and Reinvestment Act of 2009, from the United States Department of Education, Office of Special Education Programs. The New Hampshire Part C Program qualified for expanded funds to provide an opportunity for Part C Family Centered Early Supports and Services Programs to implement innovative strategies to improve outcomes for infants and toddlers birth through age three with disabilities while stimulating the economy. Easter Seals of New Hampshire was awarded the proposal to act as the Administrative Subcontract Agency for administration of Part C IDEA ARRA Stimulus Funds.

Geographic area served: Statewide.

The Honorable Marjorie K. Smith, Chairman, and  
His Excellency, Governor John H. Lynch  
October 30, 2009  
Page 3

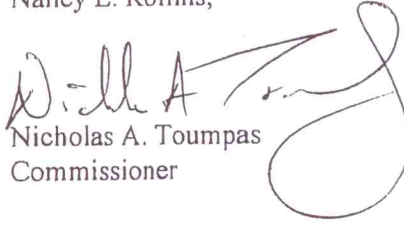
Source of Funds being accepted: 100% Federal United States Department of Education, Office of Special Education Programs Funds.

Respectfully submitted,



Nancy L. Rollins,

Approved by:



Nicholas A. Toumpas  
Commissioner

Attachments (3)  
Grant Award Notifications  
Statutory Authority





U.S. Department of Education

Washington, D.C. 20202

## GRANT AWARD NOTIFICATION

1	RECIPIENT NAME: NH DEPT. OF HEALTH & HUMAN SERVICES 129 PLEASANT STREET CONCORD, NH 03301	5	AWARD INFORMATION PR/AWARD NUMBER H393A090127 ACTION NUMBER 01 ACTION TYPE New AWARD TYPE Formula																					
2	PROJECT DESCRIPTION 84.393A INFANTS AND FAMILIES WITH DISABILITIES	6	AWARD PERIODS BUDGET PERIOD 02/17/2009 - 09/30/2010 FEDERAL FUNDING PERIOD 02/17/2009 - 09/30/2010																					
3	EDUCATION STAFF RECIPIENT STATE DIRECTOR CAROLYN STILES (603) 271 - 5122 EDUCATION PROGRAM CONTACT Ruth E. Ryder (202) 245 - 7629 EDUCATION PAYMENT CONTACT GAPS PAYEE HOTLINE (888) 336 - 8930	7	AUTHORIZED FUNDING CURRENT AWARD AMOUNT \$1,069,922.00 PREVIOUS CUMULATIVE AMOUNT \$0.00 CUMULATIVE AMOUNT \$1,069,922.00																					
4	KEY PERSONNEL N/A	8	ADMINISTRATIVE INFORMATION DUNS/SSN 011040545 REGULATIONS CFR PART 303 EDGAR AS APPLICABLE ATTACHMENTS N/A																					
9	LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 105-17/111-5 INDIVIDUALS WITH DISABILITIES EDUCATION ACT/AMERICAN RECOVERY & REINVEST PROGRAM TITLE: SPECIAL EDUCATION - GRANTS FOR INFANTS AND FAMILIES "RECOVERY FUNDS"  CFDA/SUBPROGRAM NO: 84.393A <table border="1"><thead><tr><th>FUND CODE</th><th>FUNDING YEAR</th><th>AWARD YEAR</th><th>ORG. CODE</th><th>CATEGORY</th><th>LIMITATION</th><th>ACTIVITY</th><th>CFDA</th><th>OBJECT CLASS</th><th>AMOUNT</th></tr></thead><tbody><tr><td>0299M</td><td>2009</td><td>2009</td><td>EH000000</td><td>B</td><td>KP3</td><td>000</td><td>393</td><td>4101A</td><td>\$1,069,922.00</td></tr></tbody></table>				FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0299M	2009	2009	EH000000	B	KP3	000	393	4101A	\$1,069,922.00
FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT															
0299M	2009	2009	EH000000	B	KP3	000	393	4101A	\$1,069,922.00															



U.S. Department of Education  
Washington, D.C. 20202

## GRANT AWARD NOTIFICATION

PR/AWARD NUMBER: H393A090127

RECIPIENT NAME: NH DEPT. OF HEALTH & HUMAN SERVICES

### TERMS AND CONDITIONS

- (1) UNDER THE "TYDINGS AMENDMENT," SECTION 421(b) OF THE GENERAL EDUCATION PROVISIONS ACT, 20 U.S.C. 1225(b), ANY FUNDS THAT ARE NOT OBLIGATED AT THE END OF THE FEDERAL FUNDING PERIOD SPECIFIED IN BLOCK 6 SHALL REMAIN AVAILABLE FOR OBLIGATION FOR AN ADDITIONAL PERIOD OF 12 MONTHS.
- (2) WHEN ISSUING STATEMENTS, PRESS RELEASES, REQUESTS FOR PROPOSALS, BID SOLICITATIONS, AND OTHER DOCUMENTS DESCRIBING THIS PROJECT OR PROGRAMS FUNDED IN WHOLE OR IN PART WITH FEDERAL MONEY, ALL GRANTEES RECEIVING FEDERAL FUNDS, INCLUDING BUT NOT LIMITED TO STATE AND LOCAL GOVERNMENTS, SHALL STATE CLEARLY:
  - 1) THE DOLLAR AMOUNT OF FEDERAL FUNDS FOR THE PROJECT,
  - 2) THE PERCENTAGE OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED WITH FEDERAL FUNDS, AND
  - 3) THE PERCENTAGE AND DOLLAR AMOUNT OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED BY NON-GOVERNMENTAL SOURCES.
- (3) THIS AWARD IS SUBJECT TO THE TERMS AND CONDITIONS IDENTIFIED IN ENCLOSURE A.

Note: AS OF 07/01/97, FEDERAL FISCAL YEAR (FFY) WILL REFER TO THE YEAR THE FUNDS WERE APPROPRIATE.

*Patricia J. [Signature]*

AUTHORIZING OFFICIAL

*4/1/09*

DATE



U.S. Department of Education  
Washington, D.C. 20202

## GRANT AWARD NOTIFICATION

<b>1</b>	RECIPIENT NAME:  NH DEPT. OF HEALTH & HUMAN SERVICES 129 PLEASANT STREET CONCORD, NH 03301	<b>5</b>	AWARD INFORMATION  PR/AWARD NUMBER H393A090127A ACTION NUMBER 02 ACTION TYPE Revision AWARD TYPE Formula																					
<b>2</b>	PROJECT DESCRIPTION 84.393A INFANTS AND FAMILIES WITH DISABILITIES	<b>6</b>	AWARD PERIODS  FEDERAL FUNDING PERIOD 02/17/2009 - 09/30/2010																					
<b>3</b>	EDUCATION STAFF  RECIPIENT STATE DIRECTOR CAROLYN STILES (603) 271 - 5122 EDUCATION PROGRAM CONTACT Ruth E. Ryder (202) 245 - 7629 EDUCATION PAYMENT CONTACT GAPS PAYEE HOTLINE (888) 336 - 8930	<b>7</b>	AUTHORIZED FUNDING  CURRENT AWARD AMOUNT \$1,328,372.00 PREVIOUS CUMULATIVE AMOUNT \$1,069,922.00 CUMULATIVE AMOUNT \$2,398,294.00																					
<b>4</b>	KEY PERSONNEL  N/A	<b>8</b>	ADMINISTRATIVE INFORMATION  DUNS/SSN 011040545 REGULATIONS CFR PART 303 EDGAR AS APPLICABLE ATTACHMENTS N/A																					
<b>9</b>	LEGISLATIVE AND FISCAL DATA  AUTHORITY: PL 105-17/111-5 INDIVIDUALS WITH DISABILITIES EDUCATION ACT/AMERICAN RECOVERY & REINVEST PROGRAM TITLE: SPECIAL EDUCATION - GRANTS FOR INFANTS AND FAMILIES "RECOVERY FUNDS"  CFDA/SUBPROGRAM NO: 84.393A <table border="1"><thead><tr><th>FUND CODE</th><th>FUNDING YEAR</th><th>AWARD YEAR</th><th>ORG. CODE</th><th>CATEGORY</th><th>LIMITATION</th><th>ACTIVITY</th><th>CFDA</th><th>OBJECT CLASS</th><th>AMOUNT</th></tr></thead><tbody><tr><td>0299M</td><td>2009</td><td>2009</td><td>EH000000</td><td>B</td><td>KP3</td><td>000</td><td>393</td><td>4101A</td><td>\$1,328,372.00</td></tr></tbody></table>				FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0299M	2009	2009	EH000000	B	KP3	000	393	4101A	\$1,328,372.00
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0299M	2009	2009	EH000000	B	KP3	000	393	4101A	\$1,328,372.00															





U.S. Department of Education  
Washington, D.C. 20202

## GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: H393A090127-09A

RECIPIENT NAME: NH DEPT. OF HEALTH & HUMAN SERVICES

### TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) UNDER THE "TYDINGS AMENDMENT," SECTION 421(b) OF THE GENERAL EDUCATION PROVISIONS ACT, 20 U.S.C. 1225(b), ANY FUNDS THAT ARE NOT OBLIGATED AT THE END OF THE FEDERAL FUNDING PERIOD SPECIFIED IN BLOCK 6 SHALL REMAIN AVAILABLE FOR OBLIGATION FOR AN ADDITIONAL PERIOD OF 12 MONTHS.
- (3) WHEN ISSUING STATEMENTS, PRESS RELEASES, REQUESTS FOR PROPOSALS, BID SOLICITATIONS, AND OTHER DOCUMENTS DESCRIBING THIS PROJECT OR PROGRAMS FUNDED IN WHOLE OR IN PART WITH FEDERAL MONEY, ALL GRANTEES RECEIVING FEDERAL FUNDS, INCLUDING BUT NOT LIMITED TO STATE AND LOCAL GOVERNMENTS, SHALL STATE CLEARLY:
  - 1) THE DOLLAR AMOUNT OF FEDERAL FUNDS FOR THE PROJECT,
  - 2) THE PERCENTAGE OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED WITH FEDERAL FUNDS, AND
  - 3) THE PERCENTAGE AND DOLLAR AMOUNT OF THE TOTAL COST OF THE PROJECT THAT WILL BE FINANCED BY NON-GOVERNMENTAL SOURCES.
- (4) THIS AWARD IS SUBJECT TO THE TERMS AND CONDITIONS IDENTIFIED IN ENCLOSURE A.

Note: AS OF 07/01/97, FEDERAL FISCAL YEAR (FFY) WILL REFER TO THE YEAR THE FUNDS WERE APPROPRIATE.

Peter J. Paul

AUTHORIZING OFFICIAL

8/31/09

DATE

## EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

for Discretionary, Formula, and Block Grants

(See Block 5 of the Notification)

- **RECIPIENT NAME** - The legal name of the recipient, name of the primary organizational unit that will undertake the funded activity, and the complete address of the recipient. The recipient is commonly known as the "grantee."
- PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for the project and oversight.
  - \***RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
  - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
  - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic and financial expenditure reporting.
- **KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devote to the project.
- **AWARD INFORMATION** - Unique items of information that identify this notification.
  - PR AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly the "grant number" or "document number."
  - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award by administrative means. Action number "01" will always be "NEW AWARD"
  - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
  - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK.
- **AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
  - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
  - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may include one or more budget periods.
  - \***FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes to provide the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the authority of the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- **AUTHORIZED FUNDING** - The dollar figures in this block refer to Federal funds provided to a recipient during the award periods.
  - \***THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
  - \***BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
  - \***PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
  - RECIPIENT COST-SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the project regulations and/or terms and conditions of the award.
  - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's budget. When non-federal funds are identified by the recipient where a cost share is not a legislative requirement, the recipient must provide the non-federal funds.
- **ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.
  - DUNS/SSN** - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's business number from Dun and Bradstreet or the individual's social security number.
  - \***REGULATIONS** - The parts of the Education Department General Administrative Regulations (EDGAR) and specific program regulations that govern the award and administration of this grant.
  - \***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification are in effect through the project period until modified or rescinded by the Authorizing Official.
- **LEGISLATIVE AND FISCAL DATA** - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided and U.S. Department of Education fiscal information.
  - FUND CODE, FUNDING YEAR, AWARD YEAR, ORG. CODE, PROJECT CODE, OBJECT CLASS** - The fiscal information recorded by the U.S. Department of Education's Grant Administration and Payment System to track obligations by award.
  - AMOUNT** - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount for different appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).
- **TERMS AND CONDITIONS OF AWARD** - Requirements of the award that are binding on the recipient.
  - \***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award.

### FOR FORMULA AND BLOCK GRANTS ONLY:

See also Blocks 1, 2, 5, 6, 8, 9 and 10 above)

- **EDUCATION STAFF** - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.
- **AUTHORIZED FUNDING**
  - CURRENT AWARD AMOUNT** - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.
  - PREVIOUS CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant before this action.
  - CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant, this action included.

\* This item differs or does not appear on formula and block grants.



144:39, Laws of 2009 Department of Health and Human Services; Program Eligibility; Additional Revenues; Transfer Among Accounts.

I. For the biennium ending June 30, 2011, the department of health and human services shall not authorize, without prior approval of the fiscal committee of the general court and governor and council, any change to program eligibility standards or benefit levels that might be expected to increase or decrease enrollment in the program or increase expenditures from any source of funds; provided, however, that no such prior approval shall be required if a change to a federal program in which the state is participating as of the effective date of this section is required by federal law.

II. Notwithstanding any provision of the law to the contrary, for the biennium ending June 30, 2011, the fiscal committee of the general court and the governor and council may authorize the commissioner of the department of health and human services to accept and expend additional revenues in excess of \$50,000, that are in addition to the budgeted amounts, from any source, which become available to the department. Such additional revenues shall be available to the department of health and human services to supplement funds in the following programs and services: provider payments, provider rate increases, and any other program or service that requires deficit reduction or for which revenue has been specifically obtained to improve program operations; provided, that such improvements do not increase eligibility standards or benefit levels.

III. Notwithstanding the provisions of RSA 9:17-a or any other provision of law to the contrary except RSA 9:17-c, and subject to the approval of the fiscal committee of the general court and governor and council, for the biennium ending June 30, 2011, the commissioner of the department of health and human services is hereby authorized to transfer funds within and among all PAUs within the department, as the commissioner deems necessary and appropriate to address present or projected budget deficits, or to respond to changes in federal laws, regulations, or programs, and otherwise as necessary for the efficient management of the department, with the exception of class 60 transfers.

